# On the Power of Additive Combinatorial Search Model

Vladimir Grebinski \*

#### Abstract

We consider two generic problems of combinatorial search under the additive model. The first one is the problem of reconstructing bounded-weight vectors. We establish an optimal upper bound and observe that it unifies many known results for coin-weighing problems. The developed technique provides a basis for the graph reconstruction problem. Optimal upper bound is proven for the class of k-degenerate graphs.

#### 1 Introduction

In many practical situations, one needs to obtain some information indirectly available through some physical device. Sometimes this implies costly or lengthy experiments so that the viability of the method crucially depends on the total number of them. As a classical example we refer to the problem of identification of contaminated blood samples [7]. The main problem is that we should design an optimal protocol of experiments which is often an unfeasible computational task. Such problems are studied in the field of combinatorics called *combinatorial search*. We refer to monographs [2, 7] for detailed account of modern methods and results in this area. Mathematically, an experiment with the physical device is regarded as a query to an oracle. Their number is the standard complexity measure for the problem.

Informally, a general combinatorial search problem is described by three parameters: a universe of objects, a set of queries to the oracle and a set of possible answers. An object from the universe of objects is accessed uniquely by the oracle. As every query to the oracle adds some information about the object, we repeat the process until we have enough information in order to uniquely identify the object. Our goal is to minimize the number of queries to the oracle. We will use notation  $\mu(\cdot)$  to denote the response of the oracle to the query. Sometimes we indicate which object G is meant to be queried using notation  $\mu_G(\cdot)$ .

One can distinguish two major classes of combinatorial search problems, namely the adaptive and non-adaptive ones. The latter class contains all algorithms which make all queries in advance, before any answer is known. In contrast, an adaptive algorithm takes into account outcomes of previous queries in order to form a next one. The non-adaptive algorithms form a subclass of adaptive ones and they are generally weaker. Surprisingly, in many cases non-adaptive algorithms achieve the power of adaptive ones. This will be the case for our problems.

In this paper we concentrate on two sets of objects. The first is the set of d-bounded weight vectors  $\Omega(n,d)$ , which consists of all n-dimensional, non-negative integer valued vectors of the total weight (sum of components) at most d. The second is the set  $\mathcal{G}_{n,k}$  of k-degenerate graphs on n vertices  $v_1, \ldots, v_n$ . The definition of k-degenerate graphs is given below. Terms "d-bounded weight

<sup>\*</sup>INRIA-Lorraine and CRIN/CNRS, 615, rue du Jardin Botanique, BP 101, 54602 Villers-lès-Nancy, France, e-mail: grebinsk@loria.fr. fax: (+33)-383278319

vector reconstruction problem" and "k-degenerate graph reconstruction problem" will refer to these two sets respectively.

The set of allowed queries and the set of oracle's answers are crucial for the complexity of the combinatorial search problem. For the set  $\Omega(n,d)$ , an allowed query is a subset  $S \subset \{1,\ldots,n\}$  of positions in a vector. The answer to such a query S is the sum of entries corresponding to indices in S. That is if the unknown vector is  $\vec{v} = (a_1,\ldots,a_n)$ , then  $\mu_{\vec{v}}(S) = \sum_{i \in S} a_i$ . For  $\mathcal{G}_{n,k}$ , an allowed query is a subset of vertices  $Q \subset \{v_1,\ldots,v_n\}$ . For a graph  $G = (V,E) \in \mathcal{G}_{n,k}$ , the answer to the query  $\mu_G(Q)$  is the number of edges with both endpoints in Q,  $\mu_G(Q) = |(Q \times Q) \cap E|$ . Such a choice of queries and answers corresponds to the additive or quantitative model of combinatorial search.

Historically, the additive model takes roots in a coin-weighing problem, posed by Södenberg and Shapiro in 1963 (see [2]). In this problem there is a finite number of coins, defective and authentic ones. The goal is to find the set of defective coins by possibly minimal number of weighings (or experiments). Each experiment consists in weighing an arbitrary subset of coins which reveals the number of defective ones. The problem was solved by B. Lindström [12], who gave an explicit optimal construction for the set of  $\frac{2n}{\log_2 n}$  queries. A probabilistic proof can be found in [8]. This result was extended in several ways. In [11] Lindström obtained an explicit construction of a d-detecting matrix, which provides an optimal reconstruction algorithm for vectors with each entry bounded by d. This construction can be shown to be optimal for the class of non-adaptive algorithms (see [10]). Paper [10] studies the coin-weighing problem where the number of defective coins is bounded by a constant  $d_0$ . The upper bound of  $4\frac{d_0}{\log d_0}\log n$  was established for the non-adaptive version of this problem. The naive information—theoretic lower bound for non-adaptive algorithms was improved in [3] to  $2\frac{d_0}{\log d_0-c}\log n$  for all  $d_0 < n$  and some constant c. Again, this class of objects is a proper subclass of  $d_0$ —bounded weight vectors.

To introduce main results of this paper, we point out an apparent connection between coin-weighing and vector reconstruction problems. Namely, we can associate with every coin its "degree of falsity", that is the difference between the coin weight and the weight of an authentic one. Our goal is to reconstruct the degree of falsity of every coin, i.e. the vector of coin overweights. A weighing of a subset of coins reveals the total overweight which is equal to the sum of corresponding entries of the coin overweights vector. This establishes correspondence between coin—weighing and vector reconstruction problems.

In the first part of this paper we extend previous results in the following direction: we show that an optimal algorithm exists for the problem when only the total overweight is known and the overweight of each individual coin is not bounded. Furthermore, the optimal upper bound can be achieved by a non-adaptive algorithm. This bound is of the same order as for the classical coin-weighing problem where degrees of falsity are restricted to (0,1) only (or more generally to  $(0,\ldots,d_0)$ ). Thus, we gain a uniform viewpoint to all previously mentioned results.

In the second part of the paper, we apply the results for bounded—weight vector reconstruction to reconstruction of graphs. Reconstruction of graphs covers a broad class of combinatorial search problems. For example, in [9] we studied a particular graph reconstruction problem motivated by a practical application. Note that the problem of graph reconstruction is different from that of searching for an edge in a *given* graph as well as from the classical problem of *verifying* a graph property [2].

In [10] optimal algorithms were proposed for some classes of graphs. For example, it was shown that d-bounded degree graphs and one-sided d-bounded degree bipartite graphs have reconstruction complexity O(dn) which can be reached by a non-adaptive algorithm. Another example is provided by general graphs, where the universe of objects is the set of all labeled graphs on n vertices. This

class has complexity  $O(\frac{n^2}{\log n})$  matched by a non-adaptive algorithm. The same problem was already considered in [1] in a slightly different setting.

While these results already cover many classes of graphs, they all assume some local restriction (except for the extremal case of the class of all graphs). In particular, the maximum degree of a vertex turns out to be the main parameter in complexity bounds. This eliminates, for example, the class of trees or planar graphs. We get rid of this restriction, but demand a graph to be k-degenerate (see definition 4.1). We prove that for graph reconstruction problem, the lower and upper bounds asymptotically coincide up to a multiplicative factor. Furthermore, this can be achieved by a non-adaptive algorithm. The complexity is shown to be O(nk).

#### 2 Definitions and Conventions

The following global conventions and definitions will be used throughout the paper. We assume implicitly that all graphs are labeled and simple, i.e. without loops or multiple edges. The weight or rank of a vector is its cardinality or the sum of the entries of the associated vector  $\vec{v} = \{v_1, \ldots, v_n\}$ . The non-zero positions of a vector represent its support:

$$\mathbf{wt}(ec{v}) = \sum_{i=1}^n v_i \qquad \mathbf{sp}(ec{v}) = \{i | v_i 
eq 0\}$$

All logarithms are natural unless the base is indicated. Finally, all considered matrices are (0, 1)matrices over the ring of integers.

Throughout the paper we use the asymptotic notation. Usually we have two variables, say n and k. Implicitly, we suppose that we have a family of problems parameterized by pairs  $\{(n_t, k_t)\}_{t \in \mathbb{N}}$  and that  $n_t$  is increasing. The behavior of  $k_t$  is usually mentioned in the context. Sometimes we sacrifice the generality of results in order to make them more clear or symmetric. This mainly concerns two main assumptions of the paper. In the first part of the paper, we consider only n-dimensional vectors, whose weight is bounded by a  $n^{1+\epsilon}$ , for an  $\epsilon > 0$ . This choice excludes the range of values where a trivial construction can be applied. In the second part of this paper we consider only k-degenerate graphs with  $k \leq n^{\alpha}$ , with  $\alpha < 1$ , the choice is motivated by similar considerations.

# 3 Non-Adaptive Reconstruction of Bounded-Weight Vectors

In this section we give a lower and upper bounds for the complexity of reconstruction of bounded-weight vectors by a non-adaptive algorithm. As it was mentioned in the introduction this problem generalizes the classical coin-weighing problem. Recall that a d-bounded weight vector is a vector  $\vec{v} = (v_1, \ldots, v_n)$ , with non-negative integer components  $v_i \in \{0\} \cup \mathbb{N}$  and  $\sum v_i \leq d$ . An algorithm tries to reconstruct such a vector by asking for a sum of entries with indices in a set  $S \subset \{1, \ldots, n\}$  which it is free to choose. The complexity measure of the algorithm is the number of queries and will be denoted by k(n, d).

#### 3.1 Separating Matrices and Bounded Weight Vectors

The notion of separating matrix plays a central role in the study of non-adaptive algorithms for coin-weighing problems.

**Definition 3.1** A matrix  $M \in (0,1)^{k \times n}$  with n columns and k rows is called *separating* for a set of vectors V iff for all vector  $\vec{v}_1, \vec{v}_2 \in V$  we have

$$M \cdot \vec{v}_1 = M \cdot \vec{v}_2 \quad \Rightarrow \quad \vec{v}_1 = \vec{v}_2$$

The importance of this notion is due to the following simple observation:

**Proposition 1** Constructing a non-adaptive algorithm for a coin-weighing problem under the additive model is equivalent to constructing a separating matrix.

Indeed, let V be the set of all possible input vectors. Each query can be represented as an incidence (0,1)-vector of the objects that are put in the query. Consider the matrix M, whose rows correspond to queries and columns to objects. A crucial observation is that the vector of answers for configuration  $\vec{v}$  coincides with the vector  $M \cdot \vec{v}$  (in the additive model). Since the algorithm must distinguish between different vectors  $\vec{v}_1 \neq \vec{v}_2$  we have  $M \cdot \vec{v}_1 \neq M \cdot \vec{v}_2$ . Thus, M is a separating matrix for V. On the other hand, given a separating matrix M for a set of vectors V we obtain a non-adaptive algorithm, by treating rows of M as incidence vectors of queries.

This reformulation allows us to reduce the question of existence of a coin-weighing algorithm to the question of existence of a certain separating matrix. Such reformulation is not known for other reconstruction problems, such as graph reconstruction, which will be considered later.

Below we concentrate on a particular vector set V, namely the set of all d-bounded weight vectors, which will be denoted by  $\Omega$  or  $\Omega(n,d)$  and defined as

$$\Omega(n,d) = \{ (d_1, \dots, d_n) | d_i \in N \cup \{0\}, \sum_{i=1}^n d_i \le d \}$$
 (1)

#### 3.2 Information-Theoretic Lower Bound

The work of any algorithm which reconstructs a vector from  $\Omega(n,d)$  can be represented as an extended decision—tree with at least  $|\Omega| = \sum_{i=0}^{d} {n+i-1 \choose i}$  leaves and branching degree at most d+1. Therefore, the longest path has length k(n,d), and the following lower bound holds:

$$k(n,d) \ge \log_{d+1} \sum_{i=0}^{d} {n+i-1 \choose i} > \log_{d+1} {n+d-1 \choose d}$$

We further simplify this expression. Apply  $\binom{x+y}{y} \ge \max((1+\frac{x}{y})^y, (1+\frac{y}{x})^x) = \left(1+\frac{\max(x,y)}{\min(x,y)}\right)^{\min(x,y)}$  to obtain:

$$k(n,d) > \log_{d+1} \binom{n+d-1}{d} \ge \log_{(d+1)} \left( 1 + \frac{\max(n-1,d)}{\min(n-1,d)} \right)^{\min(n-1,d)}$$
 (2)

A simple calculation shows that if d is of order  $\Theta(n^{1+\epsilon})$  (for some fixed  $\epsilon > 0$ ), then  $k(n, n^{1+\epsilon}) = \Omega(n)$ . Since our problem has a trivial solution with n queries (ask separately about each  $v_i$ ), we conclude that it is reasonable to fix some parameter  $\epsilon > 0$  and consider only such d that  $d \leq n^{1+\epsilon}$ . Thus, for  $d \leq n^{1+\epsilon}$ :

$$k(n+1,d) \ge \frac{\min(n,d)\log(1 + \frac{\max(n,d)}{\min(n,d)})}{(1+\epsilon)\log\min(n,d)}$$
(3)

In the next section we improve this bound roughly by a factor of two.

#### 3.3 Improving the Lower Bound

In this section we obtain a better constant factor in the lower bound using the second moment method [4]. This lower bound is the factor of two away from the upper bound which will be obtained later. The idea of the proof is to consider the set of all vectors of the weight d as a uniform probabilistic space. Then, an estimation of a certain variance will show that the image  $M \cdot \vec{w}$  of at least a half of vectors  $\vec{w} \in \Omega$  belong to a sphere of small radius if  $M \in (0,1)^{k \times n}$  is a separating matrix for  $\Omega$ . Thus we obtain an estimation of the dimension of the matrix.

Let  $\Omega = \Omega(n,d) = \{(d_1,\ldots,d_n)|\sum_{i=1}^n d_i = d\}$  be a probabilistic space with uniform distribution (here we consider only vectors of weight exactly d.) The  $\Pr[d_1=i] = \binom{n+d-2-i}{n-2}/\binom{n+d-1}{n-1}$ , and a simple calculation shows that  $E[d_i] = \frac{d}{n}$  and  $Var[d_i] = E[d_i - E[d_i]]^2 = \frac{n-1}{n+1} \cdot \frac{(n+d)d}{n^2}$ . Consider a random vector  $\vec{w} = (d_1,\ldots,d_n) \in \Omega$ , and let  $\vec{v} = M \cdot \vec{w}$ , where  $\vec{v} = (v_1,\ldots,v_k)$ . The first goal is to estimate  $Var[v_i]$ . Suppose there are exactly m non-zero entries in i-th line of the matrix M. The symmetric structure of  $\Omega$  imposes that  $Var[v_i] = Var[d_{i_1} + \cdots + d_{i_m}] = Var[d_1 + \cdots + d_m]$ . Therefore  $Var[v_i] = \sum_{i=1}^m Var[d_i] + \sum_{i \neq j} Cov[d_i,d_j]$ , where  $Cov[d_i,d_j] = E[d_i \cdot d_j] - E[d_i] \cdot E[d_j]$ . A direct calculation shows that

$$Var[d_1 + \dots + d_m] = m\frac{n-1}{n+1} \cdot \frac{(n+d)d}{n^2} - m(m-1)\frac{d(n+d)}{n^2(1+n)} = \frac{d(n+d)}{n^2(n+1)} \cdot m \cdot (n-m)$$
(4)

Since  $m(n-m) \leq \frac{n^2}{4}$ , we have  $Var[v_i] \leq \frac{d(n+d)}{4(n+1)}$ . Together with linearity of expectation this gives:

$$E_{\vec{w} \in \Omega} \left[ \sum_{i=1}^{k} (v_i - E[v_i])^2 \right] \le k \frac{d(n+d)}{4(n+1)}$$
 (5)

From Markov inequality it follows that:

$$\Pr\left[\sum_{i=1}^{k} (v_i - E[v_i])^2 \le k \frac{d(n+d)}{2(n+1)}\right] \ge \frac{1}{2}$$
 (6)

Hence at least  $\frac{1}{2}\binom{n+d-1}{n-1}$  vectors  $\vec{v}$  belong to a k-dimensional sphere of radius  $\sqrt{\frac{k \cdot d(n+d)}{2(n+1)}}$ . The volume of k-dimensional sphere is known to be  $\left(\frac{2c_1 \cdot R^2}{k}\right)^{k/2}$ , for a constant  $c_1$ . Therefore, by volume argument,

$$\left(\frac{c_1 d(n+d)}{(n+1)}\right)^{k/2} \ge \frac{1}{2} \binom{n+d-1}{n-1} \tag{7}$$

From this we obtain:

$$k \ge 2 \frac{\min(n-1,d)\log(1 + \frac{\max(n-1,d)}{\min(n-1,d)})}{\log d + \log(1 + \frac{d}{n+1}) + \log c_1}$$
(8)

Considering two cases of d < n-1 and  $d \ge n-1$  and taking into account that  $d \le n^{1+\epsilon}$ , we can further simplify the last expression and formulate the result in the following theorem:

**Theorem 1** There exists an absolute constant c, such that for all  $n \to \infty$  and  $d \le n^{1+\epsilon}$ :

$$k(n+1,d) \ge 2 \frac{\min(n,d)\log(1 + \frac{\max(n,d)}{\min(n,d)})}{(1+2\epsilon)\log\min(n,d) + c}$$
(9)

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#### 3.4 Upper Bound for the Vector Reconstruction Problem

In this section we apply the probabilistic method [8, 4] to obtain an upper bound on the dimension of a separating matrix M for the set  $\Omega(n,d)$  of d-bounded weight vectors (see definition 1). The general idea is to consider a set of "bad" events, defined by *conflicting pairs*, and estimate their expected number for a uniformly drawn matrix. When this number is below 1 there is a matrix where no "bad" events occurs. Thus we can estimate the dimension of the matrix M.

For two different vectors  $\vec{v}_1, \vec{v}_2 \in V$  and a matrix M, we define a characteristic function  $\chi(\vec{v}_1, \vec{v}_2, M)$ :

$$\chi(\vec{v}_1, \vec{v}_2, M) = \begin{cases} 1 & \text{if } M\vec{v}_1 = M\vec{v}_2, \\ 0 & \text{otherwise.} \end{cases}$$

For a matrix M which is *not* a separating matrix for  $\Omega$  we can find two witness vectors  $\vec{a} = (a_1, \ldots, a_n)$ ,  $\vec{b} = (b_1, \ldots, b_n)$  that enjoy two additional properties:

- 1.  $\operatorname{sp}(\vec{a}) \cap \operatorname{sp}(\vec{b}) = \emptyset$ . Otherwise, consider  $(\vec{a}', \vec{b}')$ , where  $\vec{a}' = (a'_1, \dots, a'_n)$ ,  $\vec{b}' = (b'_1, \dots, b'_n)$ ,  $a'_i = a_i \min(a_i, b_i)$ ,  $b'_i = b_i \min(a_i, b_i)$ . Obviously,  $\operatorname{wt}(\vec{a}') \leq \operatorname{wt}(\vec{a})$ ,  $\operatorname{wt}(\vec{b}') \leq \operatorname{wt}(\vec{b})$  and  $M\vec{a}' = M\vec{b}'$  when  $M\vec{a} = M\vec{b}$ .
- 2.  $\mathbf{wt}(\vec{a}) = \mathbf{wt}(\vec{b})$ . This can be insured by adding to M an additional row with all entries equal to 1. This row will be implicit and will not be subject to the random choice of matrix entries. Obviously, adding one row does not affect the asymptotic bound.

An ordered pair of vectors  $\vec{v}_1, \vec{v}_2 \in \Omega(n, d)$  satisfying the two properties above is said to be a *critical* pair. Let  $\mathcal{C} = \mathcal{C}(M)$  be the set of all critical pairs. We have

$$\mathbf{Pr}\left[M \text{ is not separating for } \Omega(n,d)\right] = \mathbf{Pr}\left[\bigvee_{(\vec{v}_1,\vec{v}_2) \in \mathcal{C}(M)} (\chi(\vec{v}_1,\vec{v}_2,M) = 1)\right],\tag{10}$$

We estimate this probability from above:

$$\mathbf{Pr}\left[\bigvee_{(\vec{v}_1, \vec{v}_2) \in \mathcal{C}(M)} (\chi(\vec{v}_1, \vec{v}_2, M) = 1)\right] \le \frac{1}{2} \sum_{(\vec{v}_1, \vec{v}_2) \in \mathcal{C}(M)} \mathbf{Pr}\left[\chi(\vec{v}_1, \vec{v}_2, M) = 1\right]. \tag{11}$$

From now on we assume the uniform distribution over  $k \times n$  matrices M, except for the "hidden" row of all 1's. The idea of obtaining an upper bound is to find the smallest k which makes the above sum smaller than 1. The first step is to obtain an upper bound for  $\Pr[\chi(\vec{v_1}, \vec{v_2}, M) = 1]$ .

**Lemma 1** Given a critical pair  $(\vec{v_1}, \vec{v_2})$  and M uniformly distributed over  $(0, 1)^{k \times n}$ 

$$\mathbf{Pr}\left[\chi(\vec{v}_1, \vec{v}_2, M) = 1\right] \le \left(\frac{8}{9 \cdot |\mathbf{sp}(\vec{v}_1)|}\right)^{k/4} \cdot \left(\frac{8}{9 \cdot |\mathbf{sp}(\vec{v}_2)|}\right)^{k/4} \tag{12}$$

**Proof:** Let  $\xi_1, \ldots, \xi_n$  be a set of independent random variables with  $\Pr[\xi_i = 0] = \Pr[\xi_i = 1] = 1/2$ . The event  $M\vec{v}_1 = M\vec{v}_2$  is equivalent to k independent events corresponding to the equality in each row. Therefore,

$$\mathbf{Pr}\left[M\vec{v}_1 = M\vec{v}_2\right] = \mathbf{Pr}\left[\langle \vec{s}, \vec{v}_1 \rangle = \langle \vec{s}, \vec{v}_2 \rangle\right]^k, \tag{13}$$

where  $\vec{s} = (\xi_1, \dots, \xi_n)$ , and  $\langle \vec{s}, \vec{v}_i \rangle$  is the inner product of  $\vec{s}$  and  $\vec{v}_i$ . Since  $\mathbf{sp}(\vec{v}_1) \cap \mathbf{sp}(\vec{v}_2) = \emptyset$ , then  $\langle \vec{s}, \vec{v}_1 \rangle$  and  $\langle \vec{s}, \vec{v}_2 \rangle$  are independent and

$$\mathbf{Pr}\left[\langle \vec{s}, \vec{v}_1 \rangle = \langle \vec{s}, \vec{v}_2 \rangle\right] = \sum_{i} \mathbf{Pr}\left[\left(\langle \vec{s}, \vec{v}_1 \rangle = i\right) \wedge \left(\langle \vec{s}, \vec{v}_2 \rangle = i\right)\right] = \tag{14}$$

$$\sum_{i} \mathbf{Pr} \left[ \langle \vec{s}, \vec{v}_{1} \rangle = i \right] \cdot \mathbf{Pr} \left[ \langle \vec{s}, \vec{v}_{2} \rangle = i \right] \leq \sqrt{\sum_{i} \mathbf{Pr} \left[ \langle \vec{s}, \vec{v}_{1} \rangle = i \right]^{2}} \cdot \sqrt{\sum_{i} \mathbf{Pr} \left[ \langle \vec{s}, \vec{v}_{2} \rangle = i \right]^{2}}$$
(15)

The sum  $\sum_{i} \mathbf{Pr}[\langle \vec{s}, \vec{v}_{j} \rangle = i]^{2}$ , j = 1, 2, can be bounded from above by  $\max_{i} \mathbf{Pr}[\langle \vec{s}, \vec{v}_{j} \rangle = i]$ . Indeed, consider an arbitrary integer-valued random variable  $\xi$  and let  $p_{max}(\xi) = \max_{i \in \mathbb{Z}} \mathbf{Pr}[\xi = i]$ . Then  $\sum_{i} \mathbf{Pr}[\xi = i]^{2} \leq \sum_{i} p_{max}(\xi) \mathbf{Pr}[\xi = i] = p_{max}(\xi) \sum_{i} \mathbf{Pr}[\xi = i] = p_{max}(\xi)$ . Therefore, we can weaken (15) to

$$\mathbf{Pr}\left[\langle \vec{s}, \vec{v}_1 \rangle = \langle \vec{s}, \vec{v}_2 \rangle\right] \le \sqrt{p_{max}(\langle \vec{s}, \vec{v}_1 \rangle)} \cdot \sqrt{p_{max}(\langle \vec{s}, \vec{v}_2 \rangle)}$$
(16)

To estimate  $p_{max}$  we need the following technical proposition.

**Proposition 2** Let t be a natural number,  $a_1, \ldots, a_t > 0$ , and  $\xi_1, \ldots, \xi_t$  be independent random variables with  $\Pr[\xi_i = 0] = \Pr[\xi_i = 1] = 1/2$ . Then

1. 
$$2^{-t} \binom{t}{|t/2|} \le \sqrt{\frac{8}{9t}}$$
, for all  $t \ge 1$ ,

2. 
$$p_{max}(\xi_1 + \dots + \xi_t) = 2^{-t} \binom{t}{|t/2|},$$

3. 
$$p_{max}(a_1\xi_1 + \dots + a_t\xi_t) \leq p_{max}(\xi_1 + \dots + \xi_t)$$

#### Proof:

1. For big t's the inequality easily follows from Stirling formula. The constant was chosen to satisfy the inequality for all  $t \ge 1$ .

2. This is obvious since  $\mathbf{Pr}[\xi_1 + \dots + \xi_t = i] = 2^{-t} \binom{t}{i}$  and  $\binom{t}{\lfloor t/2 \rfloor} \geq \binom{t}{i}$ , for all  $i = 0, 1, \dots, t$ .

3. Let  $P^{\max} = p_{max}(a_1\xi_1 + \cdots + a_t\xi_t)$ . By definition, there is a value s such that  $\Pr\left[a_1\xi_1 + \cdots + a_t\xi_t = s\right] = P^{\max}$ . Consider the family  $\mathcal{F} = \{A | \sum_{i \in A} a_i = s\}$ . Clearly,  $\operatorname{card}(\mathcal{F}) \cdot 2^{-t} = P^{\max}$ . Since  $a_i > 0$ ,  $\mathcal{F}$  is a Sperner family of sets, that is there is no two sets  $A, B \in \mathcal{F}$  such that  $A \subset B$ . By Sperner's theorem [5],  $\operatorname{card}(\mathcal{F}) \leq \binom{t}{\lfloor t/2 \rfloor}$ .

We return to the proof of Lemma 1. To bound  $p_{max}(\langle \vec{s}, \vec{v}_j \rangle)$ , j = 1, 2, we apply Proposition 2 with  $t = \mathbf{sp}(\vec{v}_j)$ . We have

$$p_{max}(\langle \vec{s}, \vec{v_j} \rangle) \le 2^{-t} {t \choose \lfloor t/2 \rfloor} \le \left(\frac{8}{9 \cdot |\mathbf{sp}(\vec{v_j})|}\right)^{1/2}$$

By (13), (16), the Lemma follows.

Using the definition of conflicting pair, we now rewrite the right-hand side of (11) as

$$\frac{1}{2} \sum_{(\vec{v}_1, \vec{v}_2)} \mathbf{Pr} \left[ \chi(\vec{v}_1, \vec{v}_2, M) = 1 \right] = \frac{1}{2} \sum_{w=1}^{d} \sum_{\substack{\vec{v}_1, \vec{v}_2, \\ |\mathbf{wt}(\vec{v}_1)| = w, \\ |\mathbf{wt}(\vec{v}_2)| = w, \\ \mathbf{sp}(\vec{v}_1) \cap \mathbf{sp}(\vec{v}_2) = \emptyset} \mathbf{Pr} \left[ \chi(\vec{v}_1, \vec{v}_2, M) = 1 \right] \tag{17}$$

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Using Lemma 1, we bound the inner sum for some fixed w.

$$\sum_{\substack{\vec{v}_1, \vec{v}_2, |\mathbf{wt}(\vec{v}_1)| = w \\ |\mathbf{wt}(\vec{v}_2)| = w, \\ \mathbf{sp}(\vec{v}_1) \cap \mathbf{sp}(\vec{v}_2) = \emptyset}} \mathbf{Pr} \left[ \chi(\vec{v}_1, \vec{v}_2, M) = 1 \right] \leq \sum_{\substack{\vec{v}_1, \vec{v}_2, \\ \mathbf{sp}(\vec{v}_1) \cap \mathbf{sp}(\vec{v}_2) = \emptyset}} \left( \frac{8}{9 \cdot |\mathbf{sp}(\vec{v}_1)|} \right)^{\frac{k}{4}} \cdot \left( \frac{8}{9 \cdot |\mathbf{sp}(\vec{v}_2)|} \right)^{\frac{k}{4}} \leq (18)$$

$$\left(\sum_{\substack{\vec{v}_1,\\\mathbf{wt}(\vec{v}_1)=w}} \left(\frac{8}{9 \cdot |\mathbf{sp}(\vec{v}_1)|}\right)^{\frac{k}{4}}\right)^2 = \left(\sum_{s=1}^w \sum_{\substack{\vec{v}_1,\\\mathbf{wt}(\vec{v}_1)=w,\\|\mathbf{sp}(\vec{v}_1)|=s}} \left(\frac{8}{9s}\right)^{\frac{k}{4}}\right)^2 = \left(\sum_{s=1}^w \binom{n}{s} \binom{w-1}{s-1} \left(\frac{8}{9s}\right)^{\frac{k}{4}}\right)^2 \tag{19}$$

The inequality between (18) and (19) is obtained by dropping the condition  $\mathbf{sp}(\vec{v}_1) \cap \mathbf{sp}(\vec{v}_2) = \emptyset$ . To obtain the last equality we used the fact that there are  $\binom{n}{s}\binom{w-1}{s-1}$  vectors  $\vec{v}_1$  of weight w with  $|\mathbf{sp}(\vec{v}_1)| = s$ , which follows from simple combinatorial considerations.

Now we are left with the technical problem of finding a possibly minimal k which makes (19) smaller than  $\frac{2}{d}$ . This will make (17) smaller than 1 and achieve our goal. Finding such k requires some routine calculations that we omit. The following proposition gives the final result.

**Theorem 2** There exist absolute constants  $C_1, C_2, C_3$  such that for all n, d there exists a  $k \times n$  separating matrix for the set of d-bounded weight vectors with k(n, d) bounded as

$$k(n,d) \le \frac{4\min(n,d)\log\left(C_1 \cdot \frac{\max(n,d)}{\min(n,d)}\right)}{\log\min(n,d) + C_2} + C_3\log d. \tag{20}$$

Comparing (20) with lower bound (9), we conclude that upper bound (20) is within the factor of  $2(1+2\epsilon)$  from the lower bound provided that  $d < n^{1+\epsilon}$  for our fixed parameter  $\epsilon > 0$ .

# 4 Non-Adaptive Reconstruction of k-Degenerate Graphs

In this section we study the complexity of non-adaptive algorithms which reconstruct the class of k-degenerate graphs. This class of graphs is large enough to contain k-bounded degree graphs, sums of k/2 trees and other interesting structures.

**Definition 4.1** A graph G = (V, E) is called k-degenerate if there exists an ordering of vertices  $V = \{v_1, v_2, \ldots, v_n\}$  such that  $deg(v_i) \leq k$  in the subgraph induced by the vertices  $\{v_i, v_{i+1}, \ldots, v_n\}$ .

The class of k-degenerate graphs on n vertices will be denoted  $\mathcal{G}_{n,k}$ . Informally, a k-degenerate graph is a graph which contains a vertex of degree at most k and deletion of this vertex from the graph leads to a new graph with the same property. For example, tree is 1-degenerate, as there is always a vertex of degree 1 (leaf), whose removal leads to another tree. Planar graphs are 5-degenerate, because in any planar graph there is a vertex of degree at most 5 (see [6]). Note that our definition is equivalent to the one in [6]. We mention that k-degenerate graphs are k + 1-colorable and have at most  $n \cdot k - \binom{k+1}{2}$  edges. For other properties of k-degenerate graphs see [6].

Let  $\mu_G(X)$  be the query function, i.e. the number of edges of the graph G with have endpoints in X. The complexity  $c(\mathcal{G})$  of graph reconstruction for a class of graphs  $\mathcal{G}$  is the number of queries  $\mu_G(X_i)$  that are sufficient to uniquely identify every graph in  $\mathcal{G}$ .

**Theorem 3** For any constant  $\alpha < 1$  there are two constants  $b_{\alpha}$  and  $c_{\alpha}$  such that for all  $k \leq n^{\alpha}$ 

$$b_{\alpha} \le \frac{c(\mathcal{G}_{n,k})}{nk} \le c_{\alpha} \tag{21}$$

We start the proof by establishing the lower bound. Next we reformulate our problem in terms of bipartite graphs and finally apply the techniques developed for bounded weight vectors.

**Proof of the lower bound:** To establish the information—theoretic lower bound we need to estimate from below the number N(n, k) of k-degenerate graphs with n vertices. To obtain a k-degenerate graph with m+1 vertices one can take a k-degenerate graph with m vertices and choose any k vertices to be adjacent with the new vertex  $v_{m+1}$ . Since this can be done in  $\binom{m}{k}$  ways, we obtain the following estimation

$$N(n+1,k) \ge \prod_{i=k+1}^{n} {i \choose k} \ge \prod_{i=1}^{n} \left(\frac{i}{k}\right)^k = \frac{(n!)^k}{k^{nk}}$$
 (22)

As it was mentioned above, the number of edges in a k-degenerate graph is at most kn - k(k+1)/2. From (22), our assumption  $k \leq n^{\alpha}$  and asymptotic  $n! \approx (n/e)^n$  we obtain the information—theoretic lower bound, namely:

$$\log_{k(n+1-\frac{k+1}{2})} N(n+1,k) \ge \log_{nk} \left(\frac{n}{ke}\right)^{nk} = \frac{nk(\log n - \log k - 1)}{\log n + \log k} \ge \frac{1-\alpha}{1+\alpha}nk + O\left(\frac{nk}{\log n}\right)$$
(23)

Therefore we can let  $b_{\alpha} = \frac{1-\alpha}{1+\alpha}$ .

**Proof of the upper bound:** In order to prove the upper bound, we reduce our problem to a problem of reconstructing a bipartite graph of special form. Specially, we reduce the graph G = (V, E) and query function  $\mu(X)$  to a bipartite graph G' = (V', V'', E') and a new query function  $\mu'$ . Here G' is the bipartite representation of G, i.e. V' and V'' are copies of V, and there is an edge between  $v' \in V'$  and  $v'' \in V''$  iff  $(v', v'') \in E$ . The query function  $\mu'(X, Y)$  for  $X \subset V'$  and  $Y \subset V''$  is defined to be  $\mu'(X, Y) = |E' \cap (X \times Y)|$ , the number of edges between X and Y.

**Lemma 2** One query  $\mu'(\cdot,\cdot)$  can be evaluated by five queries  $\mu(\cdot)$ .

**Proof** Observe the following properties of  $\mu'$ :

- 1.  $\mu'(X,Y) = \mu'(Y,X)$  and  $\mu'(X,X) = 2\mu(X)$
- 2. If  $X \cap Y = \emptyset$  then  $\mu'(X, Y) = \mu(X \cup Y) \mu(X) \mu(Y)$
- 3. If  $X_1 \cap X_2 = \emptyset$  then  $\mu'(X_1 \cup X_2, Y) = \mu'(X_1, Y) + \mu'(X_2, Y)$  for any  $Y \subset V''$ .

For arbitrary  $X_1, X_2 \subseteq V$ , let  $Y = X_1 \cap X_2$ . Then

$$\mu'(X_1, X_2) = \mu'((X_1 \setminus X_2) \cup Y, (X_2 \setminus X_1) \cup Y) = \mu'(X_1 \setminus X_2, X_2 \setminus X_1) + \mu'(X_1 \setminus X_2, Y) + \mu'(X_2 \setminus X_1, Y) + \mu'(Y, Y).$$

Using properties 1-3, we obtain for arbitrary  $X \subset V'$ ,  $Y \subset V''$ 

$$\mu'(X,Y) = \mu((X \setminus Y) \cup (Y \setminus X)) - 2\mu(X \setminus Y) - 2\mu(Y \setminus X) + \mu(X) + \mu(Y)$$
 (24)

Thus, one query  $\mu'$  can be simulated by five queries  $\mu$ .

We are going to explicitly describe a family of queries  $\mu'_{G'}(X_i, Y_i)$  that reconstruct G' uniquely provided that G' corresponds to a k-degenerate graph G as above. Let  $\{Q_j\}_{j=1}^m$  be a family of sets corresponding to rows of a matrix that is separating for the set of k-bounded weight vectors. Theorem 2 states that  $m = O(k \frac{\log n}{\log k})$  as  $n \to \infty$ . Recall that for a given k-bounded weight vector  $\vec{v} = (v_1, \ldots, v_n)$ , values  $s_j = \sum_{i \in Q_j} v_i$  uniquely define  $\vec{v}$ . Let  $\{P_i\}_{i=1}^l$  be a family of sets corresponding to rows of a matrix that is separating for the set of 2nk-bounded weight vectors. Theorem 2 implies that  $l = O(n \frac{\log k}{\log n})$ .

**Lemma 3** Values  $\{\mu'_{G'}(P_i, Q_j)\}_{i=1..l}^{j=1..m}$  uniquely identify the graph G'.

**Proof** The proof relies on the following essential properties of reconstruction of bounded-weight vectors

- 1. For a fixed j we claim that for all  $r=1\ldots n$ , the value of  $\mu'(\{v_r\},Q_j)$  can be uniquely reconstructed. Indeed,  $\sum_{r=1}^n \mu'(\{v_r\},Q_j) \leq \sum_{r=1}^n \mu'(\{v_r\},V'') = \mu'(V',V'') = 2\mu_G(V) \leq 2nk$ . Consider a vector  $\vec{w}=(w_1,\ldots,w_n)$ , where  $w_r=\mu'(\{v_r\},Q_j)$ . By property of  $\{P_i\}$ , vector  $\vec{w}$  is uniquely defined by values of the sum  $\sum_{r\in P_i} w_r$  for  $i=1\ldots l$ , which are known, since by definition of  $\mu'$ ,  $\sum_{r\in P_i} w_r = \mu'(P_i,Q_j)$ .
- 2. Fix an order on vertices of  $V' = \{v_1, v_2, \dots, v_n\}$ , which is compatible with the definition of k-degenerate graph. Thus  $\mu'(\{v_i\}, \{v_{i+1}, \dots, v_n\}) \leq k$ .
- 3. Consider a vertex  $v_1 \in V'$  and vector  $\vec{e} = (e_1, \ldots, e_n)$ , where  $e_i = \mu'(\{v_1\}, \{v_i''\})$ , the incidence vector of  $v_1$  in G'. If one reconstructs  $\vec{e}$  one will find all vertices adjacent to  $v_1$ . By Step 2,  $v_1$  has at most k adjacent vertices in V'', so the values  $\sum_{k \in Q_j} e_k = \mu'(\{v_1\}, Q_j)$   $(j = 1 \ldots m)$  uniquely define  $\vec{e}$  by the property of  $\{Q_j\}$ . According to Step 1, the values  $s_j = \mu'(\{v_1\}, Q_j)$  can be reconstructed for all  $j = 1 \ldots m$ , which proves that vector  $\vec{e}$  can be reconstructed and all vertices adjacent to  $v_1$  can be found.
- 4. To proceed to vertex  $v_2$ , we "exclude" vertex  $v_1$  from graph G and update  $\mu'(P_i, Q_j)$ . This can be done without additional queries due to the additive nature of  $\mu'$ . Namely, given an edge  $(v_1, w)$ , we subtract 2 from  $\mu'(P_i, Q_j)$  if both  $v_1$  and w belong to  $P_i$  and  $Q_j$ , we subtract 1 if exactly one of  $v_1$  or w belongs to  $P_i$  and the other to  $Q_j$ , and we do not change the value if  $\{(v_1, w) \cup (w, v_1)\} \cap (P_i \times Q_j) = \emptyset$ .
- 5. We repeat the process for  $v_2, v_3, \dots v_{n-1}$ .
- 6. It is possible that there are several orders on vertices compatible with the definition of k-degenerate graphs. The uniqueness of reconstruction follows from the fact that at the i-th step we reconstruct exactly those edges which are adjacent to  $v_i$  in the graph. This implies that different graphs have different values  $\{\mu'(P_i, Q_i)\}$ .

The total number of queries  $\mu'$  is  $m \cdot l = O(nk)$ . The reduction between  $\mu'$  and  $\mu$  gives a factor of 5, as it was shown in Lemma 2. Thus Theorem 3 follows.

# 5 Conclusions and Open Problems

A plausible conjecture is that the result of Theorem 3 holds for the graphs with a specified number of edges (i.e. |E| = nk), but we are unable to prove it with our technique. Another point is that the multiplicative constant  $c_{\alpha}$  is not small – two application of Theorem 2 and reduction of Lemma 2 leads to constant 80, which can be optimized to 48, since queries  $\mu(P_i)$  and  $\mu(Q_j)$  are made several times in Lemma 2.

The upper bound for the dimension of a separating matrix for  $\Omega(n, d)$  was obtained by a probabilistic method. We conjecture that the multiplicative constant can be improved by a factor of two to match the lower bound of Theorem 1.

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